





The Act, Regulation & Guidelines







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The Act, Regulation & Guidelines

- The Act is the over-arching legislation
- The Regulation enacted late April 2021 stipulates what needs to be done for compliance
- Currently applies to Type 2 buildings only multi-format residential
- · The Guidelines tell us how to do it

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Key Changes

- · Duty of Care
- Registration of Design Practitioners and Engineers
- Lodgement of Regulated Designs and Design Compliance Certificates

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Duty of Care

- The Act provides a Duty of Care liability on Architects, Engineers, Builders & suppliers in favour of the owners of a building
- The Duty of Care extends also to subsequent owners of the building, for a total period of 10 years.
- The Duty of Care cannot be contracted out of, and the Liability cannot be capped or limited.



Registered Design Practitioner

- Structural Engineers will need to be registered as a Registered Engineer and a Registered Design Practitioner in order to lodge Regulated Designs and to provide Design Compliance Statements
- · Applications for registration can be made after 1st July
- Proof of 'adequate insurance' is required in support of applications

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Lodgement of Design Compliance Certificates

- Design Compliance Certificates and Regulated Designs will need to be lodged on the Govt Portal.
- A PCA will not be able to issue CC until ALL designs have been completed and lodged
- This includes, for example, PT details, concrete set out plans



Design Compliance Declarations

 The Guidelines provide full details of what has to be lodged, including drawing details, scales to be adopted, even the Title Block to be used

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Lodgement of Design Compliance Certificates

- Design Compliance Certificates and Regulated Designs will need to be lodged on the Govt Portal.
- A PCA will not be able to issue CC until ALL Regulated designs have been completed and lodged
- The Regulated Design must be essentially complete. This includes, for example, PT details, concrete set out plans



Lodgement of Design Compliance Certificates

- · Lodgement before Building Work Commences
- Lodgement after Building work commences (Issued no later than 1 day after commencing variation works)
- Lodgement before Issue of Occupational Certificate (Asbuilts)

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Record Keeping

- · Requirements around record keeping
- Records maintained for 10 years
- Penalties apply for failure to comply.

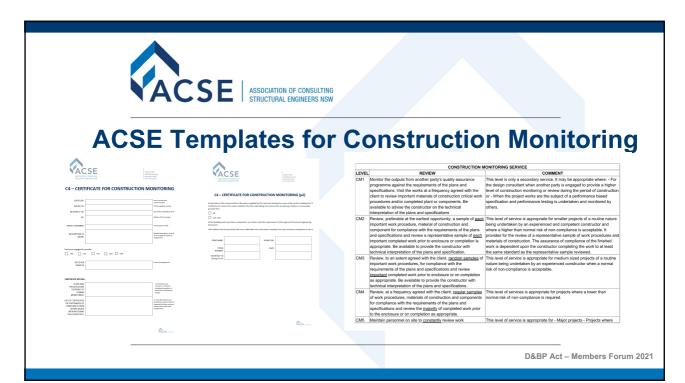


Requirements of Building Practitioner

- Must provide a Building Compliance Declaration in relation to the Building work
- May appoint a principal design practitioner to complete building works and provide a declaration as to the matters to which the declaration relates.
- Leads to Construction Monitoring (Inspection Certificates)

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Transitional Arrangements

- Any applications to become registered received in the 6 months after 1st July will be 'deemed to be accepted'
- Two-year grace period to demonstrate 'adequate insurance'

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Insurance Requirements

Different issues to consider under the Act and Regulations

- Duty of Care
- Design Compliance Declaration
- Registration
- · Professional indemnity policies (usually) cover "Civil Liability"
- Note D&BP Act exclusions



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Insurance Requirements

- Design Compliance Declarations are you covered?
- Good question!
- Before we consider exclusions we need to consider the insurance clause.
- Do you have the professional expertise to sign off on the single or regulated design?
- Who within your firm has the skill and experience to sign-off?



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Insurance Requirements – Questions

- How much is "adequate" insurance?
- Are employees "covered"?
- Tender pricing How do you tender for work given the new obligations on "front end" design under the Act and Regulations? Caveats/disclaimers in fee proposal.



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Questions

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Simon has been the State Manager at Planned Cover since joining in 2006. He is also responsible for handling the company's major professional indemnity insurance accounts as a broker. Simon's unique blend of legal and insurance experience enables him to provide his clients with insurance and risk management advice that goes beyond traditional insurance broking.





Further Information

- https://legacy.legislation.nsw.gov.au/~/pdf/view/act /2020/7/whole
- https://legislation.nsw.gov.au/view/pdf/asmade/sl-2021-152



Further Information

Exploring the insurance implications of the new D&BP Act regulations

https://vimeo.com/509112302

New rules for the construction industry in NSW: with an introduction by the NSW Building Commissioner

https://vimeo.com/445090179

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Questions and General Discussion

Open Floor for Members

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